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A Step toward a Breakthrough in Understanding Terrestrial Ecosystems - A Letter from Professor Oikawa -

# Tsutomu WATANABE

## Forestry and Forest Products Research Institute, Japan

The 6th International Symposium on Plant Responses to Air Pollution and Global Changes was held in Tsukuba, Japan, from October 19 to 22, 2004. At this symposium, Professor Takehisa OIKAWA from the University of Tsukuba presented a lecture titled "Our Challenges towards Integrated Terrestrial Carbon Study of Asia in the 21st Century". In this lecture, he described the major trends in research on the carbon balance of terrestrial ecosystems for the past few decades. He began the lecture by introducing his own research on a carbon balance model for tropical rainforests, which he conducted about 20 years ago. After reviewing more recent research topics, he closed the lecture by summarizing research efforts for elucidating the carbon balance in Asia, which is currently being conducted as the "Integrated Study for Terrestrial Carbon Management of Asia in the 21st Century Based on Scientific Advancements" under the Global Environment Research Fund of the Ministry of the Environment. Listening to this lecture, I imagined the great challenges that Professor Oikawa must have faced in his research on the carbon balance of tropical rainforests 20 years ago. Back then, our knowledge and techniques for this topic were limited compared to today. I asked him what he considered to be the biggest advancement in this field over the last 20 years. Because of the limited time at the symposium, we were unable to discuss this matter adequately. I was thinking how unfortunate it was, then I one day received a polite letter from Professor Oikawa summarizing his own thoughts on the subject. The content of the letter was highly thought-provoking. I was convinced that it should be shared with researchers in carbon balance studies, and offer its full translation here with the writer's consent.







As I mentioned the other day, recent advancements in science and technology are remarkable: computer power is improving by leaps and bounds, and the availability of satellite data is increasing rapidly. Our research on the global environment can now use these tools as highly effective means. Obviously, research which can make good use of all these advance-

ments is becoming increasingly important. One good example of such an attempt is the development of the Earth Simulator. We have a hope that creating models which integrate the atmosphere, oceans, and terrestrial areas will improve our understanding of the basic mechanisms controlling the global environment.

At the same time, researchers could overlook important processes in this kind of massive research. To remind researchers of this danger, I ventured to describe my old research from 20 years ago in my talk the other day. In this research, I developed a forest model which has three physiologically differentiated strata (upper, middle and lower) to simulate the dynamics of a tropical rainforest. This research originated from my opportunity to work as a member of the Global Carbon Cycle Research Group. This group, headed by Masayuki TANAKA, was initiated as a part of the Ministry of Education's Environmental Sciences Research, which started in 1979. My role in this research project was to assess how terrestrial ecosystems respond to rising concentrations of atmospheric CO<sub>2</sub>.

To achieve this goal, I developed a forest ecosystem model which could reproduce reality reasonably well. Using this model, I attempted to predict how a forest ecosystem would respond when atmospheric CO<sub>2</sub> concentration was gradually raised; that is, the magnitude of CO<sub>2</sub> fertilization effects. Therefore, at the outset, I had no intention at all of dividing a forest into strata, but the earliest single-stratum model did only a poor job of reproducing the actual measurements (which were obtained by a research group led by Tatsuo KIRA in the IBP\*). The Kira group made detailed measurements not only of various kinds of biomass, but also of various kinds of carbon flux. My modeling results did not match all these measurements even though many different parameters were tested. I was stumped and racked my brain for a solution.

Suddenly, it occurred to me that tropical rainforests in particular have a layered structure. I immediately adopted the above-mentioned three-strata concept. The resulting model could reproduce all the variables measured by the Kira group. [1]

Having achieved a tool to simulate the dynamics of an actual tropical rainforest, I next performed a simulation by varying the annual growing period, which was one of the model parameters (this simulation was to investigate the influence of the dry season). As expected, the longer the dry season, the slower the growth rate of the forest. At the same time, I found that the tropical rainforest system remained stable and could survive as long as the dry season was no longer than four months (In other words, if the dry season lasted for five months, this forest system collapsed). This result was consistent with the actual geographical distribution of tropical rainforests. [2]

What especially surprised me was that a longer dry season inhibited tree growth only in the upper stratum, whereas it enhanced tree growth in the middle and lower strata, which occurred as a result of the suppressed tree growth in the upper stratum. The positive effect of more available light exceeded the negative effect of the shortened annual growing period due to a longer dry season. I checked to see if this could occur in nature and learned such cases had been actually observed (see *Tropical Ecology* [3] by Fusato OGAWA, a member of the Kira group).

Since the dry-season effect on the tropical rainforest model coincided with the facts known to date, I gained more confidence in my model. Through this experience, I truly appreciated the importance of proper data sets. My model would never have been completed without the tropical rainforest data-set compiled by Tatsuo KIRA and others. Acquiring this kind of data set requires significant efforts and

\* International Biological Programme (1965-1974)



is perhaps considered as the greatest achievement of the IBP between 1965 and 1974. In actuality, after the passage of 30 years, it is now more difficult to obtain such a comprehensive data set. Although global LAI data estimated from satellite observations are useful for some applications, I must say that the currently available versions need to be further improved.

As an individual involved in ecology, I find it gratifying that the Kyoto Protocol has served to attract much attention to the carbon-absorbing ability of forests. This development will encourage people to achieve a proper understanding of the functions of forests, grasslands and other terrestrial ecosystems. At the moment, the carbon-absorbing ability of forests is generally the only focus of attention. I believe it is a big mistake as I always point out. In my opinion, grasslands are a far superior production system of organic matter when they are compared to forests. Trees grow their branches for a few tens of meters high and conduct photosynthesis. Growing leaves high up above the ground requires supporting tissues such as stems and branches, which entails considerable costs. To allow leaves to conduct photosynthesis properly, trees must transport enough water from the soil to their leaves. They provide water through vessels (hardwoods) and tracheids (conifers), and there is always a time lag between the supply and consumption of water. Furthermore, trees must transport photosynthate for a long distance to develop their stems and roots at the cost of great respiratory consumption. Grass, on the other hand, is at most about 2 m high, and therefore it lives on far smaller costs than trees in terms of both water transport and stem maintenance. This is my basic perspective of forests and grasslands from a standpoint of organic matter productivity.

If we proceed with the above-mentioned view of forests and grasslands, we come to understand the difference in responses to unfavorable environments between forests and grasslands. Using a different model for grasslands, I simulated the response of a grassland by varying the length of the dry season. Even if the dry season lasted for about 10 months, the grassland survived as a sound system although the overall biomass and productivity did decline. This is very different from the result of the tropical forest model, which yielded only four months for the maximum drought-tolerance period. Grasslands can survive after a longer dry season because they have small non-photosynthetic organs. Thus, they require much less respiratory consumption compared to forests, which need to maintain their large stems. It is my understanding that this fact is the ecological basis that distinguishes tree-climates from treeless climates in the Köppen climate classification. I believe this is the reason that grasslands can continue to exist in harsh environments (while also feeding very many animals) such as tropical savannas, temperate steppes, and the polar tundra, which are too dry or too cold for forests. Finding some evidence for my hypothesis is one of the reasons for conducting research on terrestrial ecosystems including grasslands as well as forests in the aforementioned research project supported by the Ministry of the Environment.

Although the Earth Simulator has been attracting the attention of the world, I wonder if there are elements missing in the Earth Simulator. If there are, I wish to see if they are of great importance. I would like to achieve a breakthrough and pin down such elements in the current project of the Ministry of the Environment.

Pondering on the Earth Simulator, I came to realize an ironic reality. Phenomena in the natural world involve a large number of various processes intertwined with one another, and it is not easy to grasp the essence of any phenomena in this complex system. Therefore, we have been building a model by formulating interrelationships among all fundamental elements involved in this system. However, since it is a model which simulates the interactions among the atmosphere, oceans, and land, the model itself is extremely complex. Although we built the model in an attempt to resolve the complexity of the natural world, we now have to struggle with the complexity in the modeled natural world. Since many researchers have been taking part in developing the model, each researcher works on only a very small piece. A concern springs to my mind is whether we can truly get to the essence of phenomena under such circumstances.



#### Acknowledgement

I am grateful to Professor Takehisa OIKAWA for generously allowing me to publish his personal letter in AsiaFlux Newsletter. I wish to acknowledge Dr. Reina NAKAMURA for her assistance with the English translation of this letter.

#### References

In preparing this manuscript I had Professor Oikawa cite these references:

1. Oikawa, T. (1985): Simulation of forest carbon dynamics

based on a dry-matter production model. I. Fundamental model structure of a tropical rain forest ecosystem. *Bot. Mag.* Tokyo, **98**, 225-238.

- Oikawa, T. (1986): Simulation of forest carbon dynamics based on a dry-matter production model. II. Effects of dry season upon a tropical rain-forest ecosystem. *Bot. Mag.* Tokyo, **99**, 213-223.
- Ogawa, F. (1974): *Tropical Ecology I*: Forests. Ecology Lectures No. 30, Kyoritsu Publishing (p. 52, Table 3.5) (in Japanese).

# Carbon Flux Observation in the Tropical Seasonal Evergreen Forest in Sakaerat, Thailand

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#### Introduction

Our knowledge of the sources and sinks of CO<sub>2</sub> is not sufficient in the tropical area where sequestration of CO<sub>2</sub> by forests seems to be large. Generally, there are two types of forests in the tropical humid areas, rain forests and seasonal forests. The tropical seasonal forests disappear more rapidly and deteriorate much faster than that of rain forests, because seasonal forests are easier accessible and human population pressure is much stronger than that of rain forests. In Southeast Asia, the tropical seasonal forests mainly prevail in

Thailand, Myanmar, Cambodia, Laos, and Vietnam.

The tropical seasonal forests can be divided into three types: 1) dry dipterocarp forest (DDF; deciduous, coral color), 2) mixed deciduous forest (MDF; deciduous, red), and 3) dry evergreen forest (DEF; green, Fig. 1).

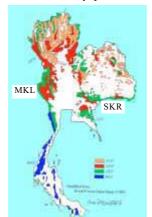


Fig. 1 Forests in Thailand SKR:Sakaerat MKL:Maeklong

According to statistics by the Royal Forest Department (RFD) in 1998, forests excluding plantation, occupy 25% of total land of Thailand. Ratio of DDF and MFD is 21% and 34%, respectively. The tropical evergreen forests, including dry evergreen forest (DEF) and wet evergreen forest (WEF) occupy 40% of the forests in Thailand. If we assume that the evergreen forest in the central and north regions belongs to DEF, then DEF occupies 32% of total forests.

#### **Site Description**

Sakaerat site is located in the Sakaerat Environmental Research Station (SERS) of Thailand Institute of Scientific and Technological Research (TISTR), on the east side of Kaoyai National Park. The vegetation is DEF that is predominated by the Hopea ferrea (Dipterocarpaceae). Canopy height is about 35m. The micrometeorological observation tower (14°29'29"N, 101°55'05"E, 535 m asl.) is located in a comparatively flat top with inclination of 6m/100m. There is a cliff in the southeast direction. Distance between the tower and the cliff is about 0.7 km. CO<sub>2</sub> flux measurements have been carried out from March 2001. Acquisition rate of CO<sub>2</sub> flux was 34%, 86%, 82%, and 87% in 2001, 2002, 2003, and 2004, respectively. The data gap filling was made by parameterization that described in the *Parameterization* session.

#### **Results and Discussion**

Fig. 2 shows the daily trends of meteorological and biological parameters for the four years. Precipitation (PRCP) clarified dry and wet seasons. Therefore, soil water content (SWC) could be a good guide for classifying dry and wet seasons. Leaf area index (LAI) was 3-4. In spite of the evergreen forest, there was a seasonal change in LAI with the maximum value in the beginning stage of dry seasons (December), and minimum value toward the end of March just before onset of the wet season.

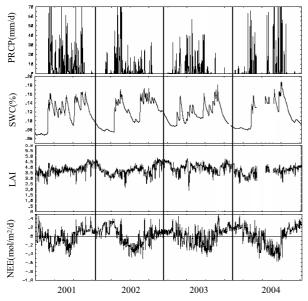
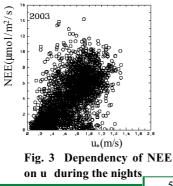


Fig. 2 Daily trends of meteorological and biological parameters at Sakaerat site. PRCP: daily precipitation, SWC: soil water content at 10cm depth, LAI: leaf area index, NEE: net ecosystem exchange in the case when yearly accumulated NEE is assumed to be zero for each year.

Annual net ecosystem exchange (NEE) was -13.5, -12.7, -14.9, and -13.0 t C ha<sup>-1</sup> in 2001, 2002, 2003, and 2004, respectively. Tree census data (Kanzaki et al, 1995, Vegetation Science



in Forestry, pp. 495-513, Kluwer Academic Publishers) showed that this site was a mature forest. One of the reasons caused large negative NEE for this forest was probably due to the under estimation of ecosystem respiration ( $R_{ec}$ ), the sum of plant and non-plant respiration. Fig. 3 shows the relationship between NEE and friction velocity u during the nights. NEE increased with the increasing in u, and did not maintain a constant value at large u. We estimated  $R_{ec}$  in the case when annual NEE became zero. In that case,  $R_{ec}$  at night was 9.6, 10.2, 11.3, and 9.9 µmol m<sup>-2</sup>s<sup>-1</sup> and annual gross primary production (GPP) was 22.2, 25.7, 26.7, and

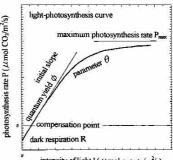
#### Parameterization

There are three benefits to the parameterization of CO<sub>2</sub> flux data. Firstly, the parameterization clarifies the structure of canopy. Secondly, the parameterization is useful for estimating the productivity by the combination of satellite vegetation index with routine meteorological data. Thirdly, the parameterization is used as a method of gap filling of observed data.

24.6 t C ha1 in 2001, 2002, 2003, and 2004, respectively.

As shown in Fig. 4, the photosynthetic light response

curve (*I-P*) for an individual leaf can be expressed by the quantum yield ( $\phi$ , the initial slope of curve), maximum rate of photosynthesis (*P*max), efficiency of absorption ( $\theta$ ,



intensity of light I ( 12 mol quanta/m2/s)

the parameter of **Fig. 4 Schematic graph of photosynthetic light (I-P) curve.** non-rectangular hyperbola), and dark respiration (*R*). (Hikosaka: http://hostgk3.biology.tohoku.ac.jp/ Hikosaka/Photosyn-home.html):

$$P = (\phi I + P_{\max} - ((\phi I + P_{\max})^2 - 4\phi I \theta P_{\max})^{0.5}) / 2\theta - R$$

We applied the characteristics of photosynthetic light curve at individual leaf level to the canopy level, since we considered the photosynthetic light curve of canopy as an analogy of that of individual leaf. In this case, P, I

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and R at individual leaf level were replaced with GPP, APAR, and R<sub>ec0</sub>, respectively. R<sub>ec0</sub> was the ecosystem respiration that extrapolated GPP at the point where APAR equaled zero. Hourly R<sub>ec</sub> was assumed as the following equation,

$$R_{ec} = 2^{\frac{T - T_0}{10}} R_{ec0}$$

where T<sub>0</sub> was the temperature at sunrise or sunset. It seems that parameterization by using the rectangular hyperbola leads to overestimation of  $\phi$  and GPP<sub>max</sub>. Non- rectangular hyperbola was applied in a similar manner by Hirano et al. (2005: J. Agric. Meteorol. 60(5): 737-740) and Takanashi et al. (2005:Ecol.Res. 20: 313-324).

Monthly changes of the four parameters, GPP<sub>max</sub>,  $\phi$ , Rec0, and  $\theta$  for GPP-APAR curves are shown in Fig. 5. Comparison between estimated GPP and observed GPP is shown in Fig. 6. Parameters of  $\phi$  and  $\theta$  were similar to the individual leaf level. GPP<sub>max</sub>,  $\phi$  and Rec0 showed the similar tendency, with  $\phi$ /GPP<sub>max</sub> was about 1/100 and Rec0/GPP<sub>max</sub> was between 1/7 and 1/13.

GPP<sub>max</sub> is the most important parameter for estimating productivity of ecosystem. Dependency of GPP<sub>max</sub> on soil moisture (SWC) is shown in Fig. 7. This tendency is quite different with that in mid- and high-latitude forests where GPP<sub>max</sub> increases with increase of soil temperature. More accurate survey showed GPP<sub>max</sub> increased linearly with SWC in the transition periods from dry to wet season. However, GPP<sub>max</sub> remained constant in the beginning

GPP<sub>max</sub> remained constant in the beginning stage of next dry season (from October to December).

#### Acknowledgement

This research is under the permission of the National Research Council of Thailand (NRCT). This study is supported by the Global Environment Research Fund, Ministry of the Environment, Japan.

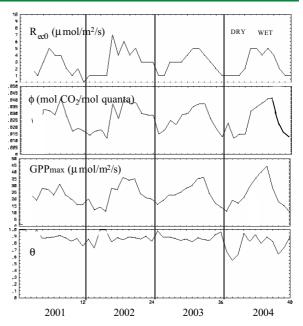


Fig. 5 Monthly values of 4 parameters for GPP-APAR curve

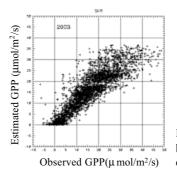


Fig. 6 Relationship between observed and estimated GPP

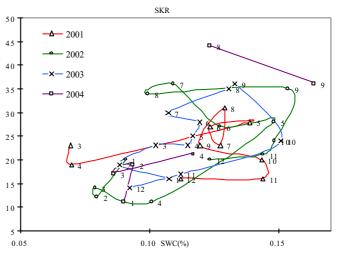


Fig. 7 Relationship between GPPmax and SWC





"AsiaFlux Workshop 2005" (4th AsiaFlux Workshop) will be held in this summer on the foothills of Mt. Fuji, Japan. The workshop provides an excellent opportunity to improve our understanding on carbon cycle in terrestrial ecosystems. Topics will include tower flux measurement, carbon cycle process models, remote sensing, general flux research, and others in related to terrestrial carbon cycle. Special session, "Flux measurements on complex topography" will be held in this workshop.

| Jointly Organized by:    Forestry and Forest Products Research Institute (FFPRI), Japan      National Institute for Environmental Studies (NIES), Japan      Date:    24-26 August 2005      Venue:    Hotel Highland Resort (Fujiyoshida, Yamanashi, Japan )      Registration Fees    Printed materials: JPN 2,000 (USD20)      Banquet:    JPN 5,000 (USD50) / JPN 3,000 (USD 30) for student      * Note: Cash only at the registration desk |
|--|
| Date:    24-26 August 2005      Venue:    Hotel Highland Resort (Fujiyoshida, Yamanashi, Japan )      Registration Fees    Printed materials: JPN 2,000 (USD20)      Banquet:    JPN 5,000 (USD50) / JPN 3,000 (USD 30) for student      * Note: Cash only at the registration desk  |
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| Schedule   |
| Date   |
| 24 Aug. (Wed.):  |
| Morning Invited Presentation   |
| Special Session  |
| Early-afternoon Poster Presentation  |
| Late-afternoon Oral Presentation   |
| Evening Banquet  |
| 25 Aug. (Thurs.):  |
| Morning Oral Presentation  |
| Early-afternoon Poster Presentation  |
| Late-afternoon Oral Presentation   |
| Country Report   |
| Summery and General Discussion   |
| 26 Aug. (Fri.):  |
| Morning Post-Conference Field Trip   |
| Important Deadlines  |
| 15 July (Fri.): Registration (Presentation)  |
| 29 July (Fri.):Registration and Abstract Submission (One page, size A4)  |
| * Check up-to-date information on the web: http://www.asiaflux.net/workshop2005  |
| Contact to: AsiaFlux Workshop 2005 Secretariat   |
| Center for Global Environmental Research,  |
| National Institute for Environmental Studies   |
| 16-2 Onogawa Tsukuba Ibaraki 305-8506, JAPAN   |
| E-mail:workshop2005@asiaflux.net/asiaflux@nies.go.jp   |



| Date           | Place          | Title  | Information                              |
|----------------|----------------|--|--|
| July 25-27,    | Xiamen, China  | 4th International Conference on              | http://www.iseis.org                     |
| 2005           |                | Environmental Informatics                    |  |
| August 2-11,   | Beijing, China | Carbon Cycle and Climate session for the     | http://www.iamas2005.com                 |
| 2005           |                | 2005 IAMAS meeting                           | -  |
| August 7-12,   | Montreal,      | IX INTECOL International Congress of         | http://www.intecol.net/IX-Montreal/9-mc  |
| 2005           | Canada         | Ecology joint with the Ecological Society of | ntreal.htm                               |
|                |                | America                                      |  |
| August 22-25,  | Jakarta,       | MAP ASIA 2005                                | http://www.mapasia.org                   |
| 2005           | Indonesia      |  |  |
| August 24-26,  | Fujiyoshida,   | AsiaFlux WS 2005                             | http://www-cger2.nies.go.jp/asiaflux/    |
| 2005           | Japan          |  |  |
| September      | Paris, France  | Reduction of Emissions and Geological        | http://www.globalcarbonproject.org/meet  |
| 15-16, 2005    |                | Storage of CO <sub>2</sub>                   | ings.htm                                 |
| September      | Boulder, CO,   | 7th International CO <sub>2</sub> Conference | http://www.cmdl.noaa.gov/info/icdc7      |
| 25-30, 2005    | USA            |  |  |
| October 8-9,   | Tsukuba,       | Regions, Cities, Carbon, Climate Change      | http://www.globalcarbonproject.org/meet  |
| 2005           | Japan          | and Consequences                             | ings.htm                                 |
|                |                |  | Contact:Penelope Canan                   |
| October 25-27, | Canmore, AB,   | Sixth Fire and Forest Meteorology,           | http://www.ametsoc.org/meet/fainst/firea |
| 2005           | Canada         | Symposium/19th Interior West Fire Council    | ndforest.html                            |
|                |                | Meeting                                      |  |
| November       | Melbourne,     | Greenhouse 2005: Action on climate change    | http://www.greenhouse2005.com/           |
| 13-17, 2005    | Australia      |  |  |
| November,      | Taiwan         | Advanced Training Workshop on Southeast      | http://www.globalcarbonproject.org/meet  |
| 15-28, 2005    |                | Asia Regional Carbon and Water Issues        | ings.htm                                 |
| December 5-9,  | San Francisco, | American Geophysical Union (AGU) Fall        | http://www.agu.org/                      |
| 2005           | CA, USA        | Meeting                                      |  |
| January 21-26, | Boulder, CO,   | 1st iLEAPS Science Conference                | http://www.atm.helsinki.fi/ILEAPS/       |
| 2006           | USA            | 15t ILEAFS Science Conference                | nup.//www.auni.neisinki.n/iLEAPS/        |
| 2000           | USA            |  |  |
| January 26-28, | Boulder, CO,   | Workshop on Flux Measurements in             | http://www.atm.helsinki.fi/ILEAPS/       |
| 2006           | USA            | Difficult Conditions                         |  |
| January 29 -   | Atlanta, GA,   | 86th American Meteorological Society         | http://www.ametsoc.org/meet/annual/ind   |
| February 2,    | USA            | (AMS) Annual Meeting                         | ex.html                                  |
| 2006           |                | ()g  |  |

# \*\*\*MEETINGS & EVENTS\*\*\*



AsiaFlux Newsletter June 2005, Issue No.14

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Editor's Note

I am looking forward to meeting you at the AsiaFlux Workshop 2005 in Fuji-Yoshida city.

The editor of AsiaFlux Newsletter No.14: **Nobuko SAIGUSA** (National Institute of Advanced <u>In</u>dustrial Science and Technology)

The editor of AsiaFlux Newsletter No.15 will be Kentaro TAKAGI (Hokkaido University).

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